## EMOTIONAL EMPATHY MODEL FOR ROBOT PARTNERS USING RECURRENT SPIKING NEURAL NETWORK MODEL WITH HEBBIAN-LMS LEARNING

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## ABSTRACT

This paper discusses the development of an emotion model for robot partner system. In our previous studies, we have focused only on the robot's emotional state. However, the emotional state of the other party is also an important factor for smooth conversation in human society. Therefore, the robot partner has two emotional structures for human: empathy and robot emotion. First, human empathy uses a perceptual based emotion model to know the human's emotional state based on the sensory information. Next, we propose a recurrent simple spike response model to improve the robot's emotional model, and we apply "Hebbian-LMS" learning to modify the weights in the spiking neural network. The robot's emotional state is calculated by using the human's emotional information, internal and external information. The robot partner can use the emotional results to control the facial and gesture expression. The utterance style is also changed by the robot's emotional state. As a result, the robot partner can interact emotionally and naturally with human. First, we explain the related works and the development of the robot partner "iPhonoid-C". Next, we define the architecture of the emotional model to realize emotional model. Finally, we show experimental results of the proposed method, and discuss the effectiveness of the proposed structure.

### Keywords: Emotional Empathy Model, Recurrent Spiking Neural Network, Hebbian-LMS Learning, Robot Partner, Conversation System

### 1.0 INTRODUCTION

In recent days, "social" is an important keyword for human life. "Man is by nature a social animal", said Aristotle in 328 BC. It means that human cannot be separated from being social. The meaning of "social" is defined in "Cambridge Dictionaries Online" as "relating to the things you do with other people for enjoyment when you are not working" [9]. Therefore, people put a lot of effort in social relationships. Communication is a core element to human for improving social relationships, and communication skills and emotional statements are important factors to enrich human life. Furthermore, human emotion plays an important role in communication. Thus, we consider communication system and emotional model to realize the socially interactive robots.

Human emotional state change has a deep relations with subjective and intuitive situations [40, 41, 51]. We define subjective situation as the personal representation of an individual, based on his or her own mental model (molded by their experience). Whereas for intuitive situation, it is a group representation where individuals within the group should uphold the same belief. These human emotion theories are discussed by experts [17, 34]. In psychological studies, there are various emotional definitions of human. For example, Plutchik have mentioned about "*If there is little consensus on the meaning of the term, it is no wonder that there is much disagreement among contemporary theoreticians concerning the best way to conceptualize emotion and interpret its role in life.*" [31]. Thus, we could know that many conceptual emotional models exist. Therefore, the aim of this paper is to propose the concept of the robot's emotional model specifically to emulate human-robot interaction, instead of specifying the real definition of human emotion, because the importance of emotions is significant in human interaction. We have been developing an emotion model based on multilateral research by using engineering viewpoints [26, 27].

Generally, human communication includes empathy of emotion [38]. Therefore, the human interaction style changes depending on the emotional state. For example, when another person has a "sad" emotional state, we should consider that factor when communicating with her or him. This idea is similar to the mutual cognitive environment in relevance theory [37]. Accordingly, some researchers have tried to know the human emotional state based on the speech [30]. We have also used adjective information in human utterance sentence that is a direct expression of human emotional state as the input information in the emotion model of the robot [46].

The robot's emotional model can improve the human-robot interaction in order to realize a socially acceptable robot partner. Thus, many researchers have shown interest in developing an emotion model for the robot partner. For example, Kismet can interact with human by facial expression [7, 8]. This is very helpful for smooth interaction in the human society. Another researcher has explained the significance of emotional factors to associative memory for human-robot interaction [28]. In Japan, "Kansei Engineering" has a long history [29]. It is a way to incorporate human sensibility in the manufacturing process. "SoftBank Robotics" developed the robot "Pepper" which has an emotional model [36] and researchers use this robot to develop educational applications with children [39]. In this way, the emotional factor has been applied aggressively from various points of view on human emotions. Speech based emotion recognition systems enable users to determine the speaker's emotional states by extracting corresponding information that resides in speech signals [35].

In [46], we discussed perception based emotion model in order to use conversation system. The robot partner calculates its emotional state based on the utterance sentence of the human. Then, the robot partner can control its utterance pattern, facial and gestural expression based on the emotional parameters.

In [5], we discussed an emotional model based on spiking neural network having a feed-forward structure with no recurrence. Not all objects in view are processed instantaneously in human recognition system, unless a certain threshold such as object saliency is reached. This characteristic can be directly modeled by spiking neural network, which resembles closely the biological mechanism of neurons. Thus we used spiking neural network structure for the emotional model mechanism. Hebbian learning was applied to modify the neural network's weights [16]. However, the model has some limitations. One big problem with this approach was that the weights could only increase until they reached saturation. In order to prevent saturation, in this paper, we use Hebbian-LMS learning method.

We also considered the design of emotion based on recurrent structure in the feeling layer, and we use feedback from the mood layer to the feeling layer. Our emotion model has time scales for sustainable emotions. The feeling layer that directs expression of the emotion has a short-term time scale. This feeling easily changes the emotion state according to the external and internal stimulus. The mood layer has a long-term time scale. Mood has a long time range influence, longer than the feeling layer has. Some time, feeling state is affected from mood state. Thus, we use

mood information in the feeling state.

Normally, the current emotional information plays more important role than the previous emotional information in the memories [42]. However, by taking into account the previous information stored in the memory, it can realize a finer model of emotion that better models the human emotion. It is possible for recurrent network to make short or long term memory, but feed forward network cannot have a memory. This is because feed forward structure uses only current information. In our recurrent model, we can use the feedback from the mood to the feeling layer, and we can also use the previous feeling values in the feeling layer. This fact is very important when realizing the emotional state mimicking human emotion.

Thus, we modify the previous feed-forward only structure with no recurrence to a recurrent model that has feedback. We propose a recurrent structure to refer to the previous feelings when updating the current feelings. We introduce these emotional model structures in our robot partner. We use two emotional models, a perception based emotional model and a recurrent simple spike response model based emotional model.

In the perception based emotion model, the state of human subjective emotion is applied to the robot. The perception based emotional model calculates the human emotional state by using adjective, gesture, and smile information. One important advantage of our model is that the robot can build its own emotional model by unsupervised learning using the Hebbian-LMS algorithm. In this recurrent simple spike response model based

emotional model, the robot's emotional state changes depending on the human feeling state result, battery, distance, and gender information. The human emotional state has a relation to the previous and current feeling states. Accordingly, we can consider, that the robot partner should have a similar structure of emotion. Furthermore, we also consider the feedback from mood layer to feeling layer. Thus, the feeling state can depend also on the mood state.

This paper is organized as follows. Section 2 presents the proposed robot partner system. Section 3 explains the conversation system for the robot partner. Section 4 introduces the proposed emotional model of the robot partner. Section 5 presents experimental results. Conclusion and future work are presented in Section 6.

## 2.0 SMART DEVICE BASED ROBOT PARTNER SYSTEM: iPhonoid-C

We have developed "iPhonoid-C" as a robot partner to interact with human in [45]. The robot partner consists of two parts: a smart device and a body (Fig. 1). The smart device part is in charge of computational intelligence and thereby control the hardware and the body [46, 48]. In this paper, we focus on emotional empathy model by recurrent simple spike response model better than the development of robot hardware system.

The emotional state is a very important factor in human communication [32]. For example, we should consider other people's emotional state to avoid hurt feelings when we talk, because the meaning of human utterance can vary depending on the emotional state of human. This emotional model will be explained in Section 4.

The affecting factors of emotional state change can be classified as external and internal stimulus [24]. External emotional factors refer to "social" and "non-social" factors. On the other hand, internal emotional factor is "physiological state to complex cognitive activities". Consequently, sensory information are required in order to use the emotional model. In this paper, sensors in the smart device are used similarly as human sense organs in order to model the robot's emotion. iOS device has various sensors e.g., display touch status, camera, mic, compass, battery status, accelerometer, proximity status, and shake information [1, 2, 3]. The detailed information is shown in Table 1. For the experiment, we define that all sensory signals scale from 0 to 1 as an output value (Table 2). The sensory information is updated in every 0.5 second.



Fig. 1: Robot partner: iPhonoid-C

Sensor	Information				
Camera	RGB information				
Microphone	Sound level information				
Touch interface	Touch and swipe information				
GPS	Geometric information				
Proximity	Presence of nearby object information				
Compass	Geometric direction				
Accelerometer	Accelerometer information				
Gyroscope	Movement information				
Battery status	Battery charging level				

Table 2: Sensory information from smart device

Input information	Parameters (Sampling interval: Every 0.5 second)	Output value
Human gesture	Hand up and down, left and right	0 or 1
Object color	Human skin color, red, blue	0 or 1
Display touch	Gesture actions:	0 or 1
	Tap, long press, swipe about 4 direction (up, down, left, right)	
Touched finger radius	The contact area of the finger: 20mm (min) – 100mm (max)	0.0 - 1.0
Human detection	Human or nobody	0 or 1
Human distance	Distance between human and robot:	0.0 - 1.0
	1.5m(max) - 0.1m(min)	
Smile	Information of smiling or not	0 or 1
Gender	Information of female or male	0 or 1
Racial	Information of asian or non-asian	0 or 1
Proximity sensor	Nothing or somthing	0 or 1
Mic volume	Volume level: -120(min), 0(max)	0.0 - 1.0
Body shake	Detecting shake event or not	0 or 1
Compass direction	Parameter changing from North to south	0.0 - 1.0
Battery status	Battery charging level:	0.0 - 1.0
	0 (Low power), 1 (full charged)	

# 3.0 COMMUNICATION SYSTEM

The robot's communication system consists of two parts: verbal communication (Section 3.1) and nonverbal communication (Section 3.2).

#### 3.1 Verbal Communication

In [46], we have proposed a conversation module as shown in Fig. 2. This proposed conversation system has three main components to interact with human. The first component is the Conversation Flow Utterance System (CFUS); the second component is the Sentence Building Utterance System (SBUS), and the third component is the Time Dependent Utterance System (TDUS). Fig. 2 illustrates the control of the conversation flow between the three main components. When user schedule information is available, then TDUS part is applied. If not, then

SBUS is used. If the human utterance sentence is declarative, then CFUS including verb and adjective comparison is applied based on the type of the sentence.



Fig. 2: The structure of the conversation system

# 3.2 Nonverbal Communication

In [45], we have proposed facial and gestural expression by using emotional state in nonverbal communication. Facial expression uses a simple fuzzy model to change the robot's face based on its emotional state.



Fig. 3: Emotional expression by facial and gesture expression

The facial expression has three levels based on the mood information using two types of mood parameters to change the robot's face: positive and negative moods. We have also proposed gestural expression based on the emotional state using Laban Movement Analysis (LMA) [45]. This system uses the action drive based on the three effort factors: Space, Weight, Time. The gestural expression part uses the feeling parameter to create a

chromosome structure for motor control. The results of emotions are used for robot facial and gesture expression [45] and robot conversation [46] (Fig. 3). For example, when robot feeling is "happy" and mood difference between positive and negative mood is "big", the robot partner will use large happy facial and gestural expression.

## 4.0 EMOTIONAL MODEL TO HUMAN ROBOT INTERACTION

Human emotion plays an important role both in social communication and in human decisions (e.g., decision of avoiding dangerous situation) [4, 25]. Emotional state is a very important factor to generate facial and gestural expressions. These expressions are dynamically changing based on the emotional states [12, 13, 19].

The aim of our robot development is to realize a social robot, which can be achieved by developing emotional models in the robot partner [44, 45, 49]. Various attempts have dealt with human emotional state using a computational model, such as the analysis of emotion based on the facial expression [18] and emotional model based on computational neural network modeling [22].

In [49], we discussed perception based emotional models. In our previous studies [46, 47], we have focused only on the robot's emotional state. However, the emotional state of the human is also an important factor for smooth conversation in human society [11]. Therefore, we defined human as having two different emotional parts for interaction. In Fig. 4, each human has "Own" and "Other" emotional structure. The following explanation is described from the robot's point of view.



Fig. 4: The structure of emotional relationship between human and robot

"Other" structure is emotional empathy from others (in our explanation, the human) as shown in figure 4 (a) (e.g., The robot feels the human is angry). This emotional state is inferred from human state by using perceptual information to indirectly obtain the human emotional state (Figure 5). In order to know the meaning of human actions and utterances indirectly, the robot should consider human behavior. However, it is impossible to determine the state of human real emotional state. Thus, human emotions are inferred depending on the human's reaction as a response to the human-robot interaction (e.g., human facial and gestural expression, distance between human and robot). Therefore, other human's emotional states are estimated based on the sensory information. For example, when the human is getting away from robot, robot can consider this situation to infer human emotional state. Therefore, we consider this model to use emotional empathy structure. This will be presented in Section 4.2.

For perceptional information, we should consider sensory information as well (Section 2).

On the other hand, "Own" structure is the robot's inner emotional model (e.g., I am happy). The own emotional state is calculated by using human's emotional information, internal state and external input for robot partner. That is, the robot partner's emotional state is changed not only by environmental information but also by

human's emotional states. This information is used to determine the robot's feeling and mood state. By this structure of emotional empathy, robot can get the basic information of "empathy" after recognizing the emotional results. For the robot's emotional model, we present our new model using the recurrent simple spike response model with Hebbian-LMS learning. This will be introduced in Section 4.3. Therefore, we integrate two emotional structures in order to use emotional system on the robot partner (Fig. 5).

In this paper, we apply "Hebbian-LMS" learning method to update the robot own emotional weight parameter to form the robot emotional state. Saturation problem is also considered. "Hebbian-LMS" learning method performs unsupervised learning [43]. Therefore, the robot partner is able to build its emotional model by itself.



Fig. 5: The information flow of emotional empathy model

# 4.1 Emotional Parameters for Robot Emotion

There are several emotional factors and human emotion that do not have a commonly accepted definition. Hence, many parameters of emotion can be considered in the robot's emotional model. We use six pieces of predefined sensory information in the emotional parameters as follows:

- 1. Human gesture information: Human gestures are related to the components of emotion [19]. In this paper, two human gesture states are used in the emotional model. The robot interprets predefined meaning of the gestures. Up and down gestures have positive meaning while left and right gestures have negative meaning. Basically, the robot is interested in positive response.
- 2.Human smiling state: Human facial expression is a good factor to know the emotional state. If human is smiling, then the robot considers that the person is in a happy state.
- 3. The adjective contained in human words: Human utterance sentences include emotional information. Therefore, researchers considered to analyze the emotional state by sentence [21, 50]. We utilize the adjective information from the human utterance sentences in order to infer the human emotional state. The adjective information based on our paper in [46] is presented in Table 3. If the sentence contains negation (such as the word "not") then the parameters change from (x) to (1-x). For example, when the adjective is "Happy", the parameter related to surprise is "0.8" in Table 4. However, if the adjective contains a negation like "not happy", the surprise parameter is used with "0.2". The "0" parameter is not considered to change in Table 4.
- 4.Robot battery state: Human emotional state can also change based on the internal information in the body. Therefore, we use the battery information of the smart device to mimic human hunger state. The fully charged state means that the robot is happy.

- 5.Distance from human: The robot was given the habit to feel better when it is closer to human. Hence, the robot partner has happy emotional state when the human is closer, and the robot partner has sad emotion when the human leaves the robot.
- 6.Human gender: The robot has different emotional parameters describing its personality. We considered that emotional state varies based on the gender difference [10, 14]. Thus, in this paper, the information of gender was taken into consideration as the difference between the parameter of emotion model [20]. Here, as the basic properties, the robot was given a more positive emotional state when the parameter is "female".

Feelings	Adjective words
Neutral	-
Нарру	nice, glad, great, good, pleased
Surprise	wonder, awe, amazing, curiosity, astonished
Angry	enraged, heated, furious, uptight, bad
Disgusting	nasty, hateful, fulsome, shocking, awful
Sad	heartbroken, sorry, wistful, bitter, sick
Frightened	anxious, panicky, spooked, startled, unnerved
Fearful	afraid, hesitant, nervous, scared, tense
Thrilling	frantic, sensational, rousing, miraculous, breathtaking

Table 3: Adjective words related to human emotional parameter

Table 4: Coefficients between emotions and feelings

Adejctive words	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Neutral	0.0	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Нарру	0.0	1.0	0.8	0.0	0.0	0.1	0.1	0.0	0.7
Nice	0.0	0.9	0.7	0.0	0.0	0.0	0.1	0.0	0.6
Glad	0.0	0.9	0.6	0.0	0.0	0.0	0.1	0.0	0.5
Great	0.0	0.9	0.5	0.0	0.0	0.0	0.1	0.0	0.7
Breathtaking	0.0	0.4	0.3	0.1	0.1	0.1	0.1	0.5	0.0

#### 4.2 Emotional empathy from estimated human emotion

The emotional input  $u^{E}_{b,c,d}(t)$  is generated based on the adjective word information in the human sentence as follows:

$$u_{b,c,d}^{E}(t) = \begin{cases} 1 & \text{if } (S_{adjective} = S_b) \land (G_{gesture} = G_c) \land (D_{smile} = D_d) \\ 0 & \text{otherwise} \end{cases}$$
(1)

The (b, c, d) are item numbers.  $S_{adjective}$  is the adjective in the human sentence and  $S_b$  is the adjective group related to the *i*-th emotion as presented in [46]. For example,  $S_l$  (b=1) is adjective word number 1 (e.g.,  $S_l =$ "happy"). The adjective words are classified into eight groups, one group for each feeling, and six adjective words are included in each group. Each adjective word has an ID number used for calculating the emotion parameter.  $G_{gesture}$  is the human gestural information.  $G_c$  is related to the *i*-th emotion, either moving hand up and down vertically ( $G_0$ ) or moving hand left and right horizontally ( $G_1$ ).  $D_{smile}$  is the human facial information of smile.  $D_d$  is related to the *i*-th emotion, where  $D_l$  means smiling,  $D_0$  means no facial expression.

The state of the *i*-th feeling  $u^{F}_{i}(t)$  is updated by the emotional input from the viewpoint of bottom-up construction and the top-down constraints from mood values are also considered:

$$u_i^F(t) = \tanh(\kappa u_i^F(t-1) + (1-\kappa) [E_i + F_i]),$$
<sup>(2)</sup>

where

$$E_{i} = \sum_{b,c,d=0}^{N^{E}} w_{i,b,c,d}^{E} \cdot u_{b,c,d}^{E}(t-1)$$

$$F_{i} = \sum_{j=0,j\neq i}^{N^{F}} w_{i,j}^{F} \cdot u_{j}^{F}(t-1)$$

$$\kappa = \frac{\gamma^{F}}{1+u_{1}^{M}(t-1)-u_{2}^{M}(t-1)},$$
(3)

where  $\gamma^{F}$  is the temporal discount rate of feelings  $(0 < \gamma^{F} < 1)$ ,  $N^{E}$  is the number of emotional inputs (the information of 48 adjectives  $\cdot$  2 gestures  $\cdot$  2 smiles),  $w^{E}_{i,b,c,d}$  is the weight between the (b, c, d) emotion and the *i*-th feeling  $(0 \le w^{E}_{i,b,c,d} \le 1)$ ,  $N^{F}$  is the number of feelings  $(N^{F} = 8)$ ,  $w^{F}_{i,j}$  is the stimulation or suppression coefficient from the *j*-th feeling to the *i*-th feeling  $(0 \le w^{F}_{i,b} \le 1)$ , and  $u^{M}_{m}(t)$  is the value of the *m*-th mood. We use positive mood (m = 1) and negative mood (m = 2). The hyperbolic tangent is used to regulate the values of feelings. Mood is defined as the long-term state updated by a change in feelings, and governs changes in feelings. Feeling is defined as a short-term state updated by a change in emotion. The state of the *m*-th mood is updated by the sum of feelings:

$$u_m^M(t) = \tanh\left[\gamma^M u_m^M(t-1) + (1-\gamma^M) \sum_{i=1}^{N^F} w_{m,i}^M u_i^F(t)\right],$$
(4)

where  $\gamma^{M}$  is the discount rate and  $w^{M}_{m,i}$  is the stimulation or suppression coefficient from the *i*-th feeling to the *m*-th mood ( $0 \le w^{M}_{m,i} \le 1$ ).

#### 4.3 Recurrent Simple Spike Response Model

There are several causes for human emotional changes. For example, emotions arise based on either internal or external changes [33].



Fig. 6: The learning structure of the recurrent simple spike response model

Malaysian Journal of Computer Science. Vol. 30(4), 2017

Human emotions are stored in memory, where the memory affects human current emotions, appraisals and personality [23]. Thus, we apply recurrent structured spiking neural network to realize the memory (Fig. 6).

In order to reduce the computational cost for the smart device application, a modified simple spike response model is applied in this paper. In this model, the internal state (membrane potential)  $h_i(t)$  of the *i*-th neuron at the discrete time *t* is described by the following equation:

$$h_{i}(t) = \tanh(h_{i}^{syn}(t) + h_{i}^{ext}(t) + h_{i}^{ref}(t)),$$
(5)

From Eq.(5) we can see that the internal state of the neuron depends on three components:

•  $h^{syn}_i(t)$  includes the weighted pulse outputs from the other neurons and the reduced value of the internal state in the previous timestep. In this paper, we use a recurrent feeling layer (Figs.6, 7). Therefore, we have modified the equation for calculating  $h^{syn}_i(t)$  as follows:

$$h_i^{syn}(t) = \sum_{a=1}^{A} \left( \gamma^{syn}(t-a) \cdot h_i(t-a) + \sum_{j=1, i \neq j}^{N} w_{j,i}(t-a) \cdot h_j^{PSP}(t-a) \right), \tag{6}$$

where  $\gamma^{sym}(t-a)$  is the temporal discount rate of  $h_i$ ;  $w_{j,i}(t-a)$  is a weight from the *j*-th neuron to the *i*-th neuron at the discrete time t-a;  $h_j^{PSP}(t-a)$  is the Post Synaptic Potential (PSP) approximately transmitted from the *j*-th neuron at the discrete time t-a; *N* is the number of neurons; and *A* indicates the recurrence. In this paper, we use recurrence in the feeling layer, where A = 2 as shown in Fig. 7. In the emotion layer and in the mood layer, A = 1.

- $h^{ext}_{i}(t)$  is the input to the *i*-th neuron from the environment.
- $h^{ref}(t)$  is used for representing the refractoriness of the neuron. This means that after the neuron fired, its internal state value is decreased using the refractoriness component, in order to avoid the continuous firing of the neuron within a short period of time.



Fig. 7: The structure of the recurrent state

To avoid the bursting of neuronal fires, the hyperbolic tangent function is used in Eq. (5). When the internal state of the *i*-th neuron reaches a predefined threshold level, a pulse is outputted as follows:

$$p_i(t) = \begin{cases} 1 & \text{if } h_i(t) \ge \theta, \\ 0 & \text{otherwise,} \end{cases}$$
(7)

where  $\theta$  is a threshold for firing. In case of firing,  $\hat{R}$  is subtracted from the  $h^{ref}(t)$  value of neuron *i*:

$$h_i^{ref}(t) = \begin{cases} \gamma^{ref} \cdot h_i^{ref}(t-1) - R & \text{if } p_i(t-1) = 1, \\ \gamma^{ref} \cdot h_i^{ref}(t-1) & \text{otherwise,} \end{cases}$$
(8)

where R > 0,  $\gamma^{ref}$  is a discount rate of  $h^{ref}_{i}$ , and  $0 \le \gamma^{ref} \le 1$ . The Post Synaptic Potential is the response of the postsynaptic neuron to a presynaptic action potential [15]. This is illustrated in Fig. 8, where the *j*-th neuron is the presynaptic neuron (sender) and the *i*-th neuron is the postsynaptic neuron (receiver). The presynaptic spike output is transmitted to the connected neuron through the weight connection as shown in Fig. 8:



Fig. 8: The structure of the simple spike response model

$$h_i^{PSP}(t) = \begin{cases} 1 & \text{if } p_i(t) = 1, \\ \gamma^{PSP} \cdot h_i^{PSP}(t-1) & \text{otherwise,} \end{cases}$$
(9)

where  $\gamma^{PSP}$  is a discount rate of  $h_i^{PSP}$  and  $0 < \gamma^{PSP} < 1$ . The PSP is excitatory if the weight parameter,  $w_{j,i}$  is positive.

# 4.4 Spiking Neural Network with Hebbian-LMS Learning

In this paper, we propose a recurrent simple spike response model based emotional model, and we apply "Hebbian-LMS" learning to improve the robot's personal emotional system [43]. Here, we explain the learning algorithm divided into three parts: Emotion, Feeling, and Mood layer (Fig. 6).

#### 4.4.1 Emotion Layer

 $h_g^E(t)$  is the perceptual information from smart device in the emotional layer part.  $h_g^{E-syn}(t)$  has no weight pulse outputs from the other neurons, because the emotion neurons are not interconnected. Hence:

$$h_g^{E-syn}(t) = \gamma^{E-syn}(t-1) \cdot h_g^E(t-1)$$
(10)

where the *E* index means emotion neuron based on the sensory information from the smart device. Emotion neurons are connected to feeling neurons (Fig. 9). The  $W^E$  parameters are predefined to form the personality of the robot as presented in Section 4.5.1.



Fig. 9: The structure of spiking neural network: Emotion layer (i) to Feeling layer (j)

### 4.4.2 Feeling Layer

The external input of the neurons in the feeling layer is calculated from the presynaptic spike output of emotion neurons and mood neurons as depicted in Fig. 10:

$$h_j^{F-ext}(t) = \sum_{g=1,g\neq j}^{N_E} w_{g,j}^E \cdot h_g^{E-PSP}(t-1) + \sum_{k=1,k\neq j}^{N_M} w_{k,j}^{MF}(t-1) \cdot h_k^{M-PSP}(t-1)$$
(11)

where the *F* index means feeling neuron;  $N_E$  is the number of emotion neurons;  $w_{g,j}^E$  is the weight between the *g*-th emotion neuron and the *j*-th feeling neuron (Fig. 9);  $N_M$  is the number of mood neurons; and  $w_{k,j}^{MF}$  is the weight between the *k*-th mood neuron and the *j*-th feeling neuron (Fig. 11). The learning equation is shown in Section 4.5.4, where  $W^F$  is the connection weight between the previous feeling and the current feeling (Fig. 12). The calculation of  $h^{F-syn}(t)$  for the interconnected feeling neurons is described in Eq. (6) (Feeling layer: A = 2).



Fig. 10: The structure of spiking neural network: Feeling layer (j) to Mood layer (k)

# 4.4.3 Mood Layer

The external input of the neurons in the mood layer is calculated from the presynaptic spike output of the feeling neurons. The basic structure of neurons is shown in Fig. 10:

$$h_k^{M-ext}(t) = \sum_{k=1}^{N_F} w_{j,k}^{FM}(t-1) \cdot h_j^{F-PSP}(t-1)$$
(12)

where the *M* index means mood neuron;  $N_F$  is the number of feeling neurons; and  $w^{FM}_{j,k}$  is the weight between the *j*-th feeling neuron and the *k*-th mood neuron. In the calculation of  $h^{M-syn}_k(t)$ , no weighted pulse outputs from the other neurons are considered, because the mood neurons are not interconnected, thus in  $h^{M-syn}_k(t)$  only the previous mood state value is used:

$$h_{k}^{M-syn}(t) = \gamma^{M-syn}(t-1) \cdot h_{k}^{M}(t-1)$$
(13)

where the k index means mood layer. The mood layer has two mood factors  $(N_M = 2)$ : positive (+) and negative (-). In [5], we did not consider the feedback structure from mood to feeling layer. Here, we consider this feedback. The equation is shown in Section 4.5.3.



Fig. 11: The structure of spiking neural network: Mood layer (k) to Feeling layer (j)

### 4.5 Weight Learning by Hebbian-LMS

The basic equation of "The Hebbian-LMS Learning Algorithm" is as follows [43]:

$$W_{k+1} = W_k + 2\mu e_k X_k$$

$$e_k = SGM(X_k^T W_k) - \gamma X_k^T W_k = SGM((SUM)_k) - \gamma(SUM)_k$$
(14)

where  $\mu$  controls stability and convergence speed parameter;  $X_k$  is the input pattern;  $(SUM)_k$  is the sum of inputs;  $\gamma$  is the slope parameter to control the stable equilibrium point; k is the time step. For our spiking neural network based emotional model we have modified the equation of learning weight as follows:

$$W(t) = W(t-1) + Z \circ X_{input}(t-1)$$
  

$$Z = \xi^{wgt}(tanh(SUM_{input}) - \gamma^{wgt} \cdot (SUM_{input}))$$
(15)

where  $\zeta^{wgt}$  is a coefficient to control stability and convergence speed;  $\gamma^{wgt}$  is a parameter to control the stable equilibrium point;  $\circ$  is "Hadamard Product". In this paper,  $SUM_{input}$  consists of two parts as the input parameters: PostSynaptic Potential (PSP) and the self-connection values. The feeling layer is related to three weights for learning:  $W^{FM}$ ,  $W^{MF}$  and  $W^{F}$ . We show these weight parameters in Sections 4.5.2, 4.5.3 and 4.5.4.

#### 4.5.1 Learning Weight: W<sup>E</sup>

We use predefined weight parameters of  $W^E$  as presented in Tables A-3, A-4, A-5 and A-6. It determines the robot's personal emotion state. The weight parameters are used as illustrated in Fig. 9. In order to realize robot emotional model, we define basic emotional input information such as feeling parameter ( $w^E_{gfeeling,j}$ ) from Section 4.2, battery ( $w^E_{gbattery,j}$ ), distance ( $w^E_{gdistance,j}$ ), and gender ( $w^E_{ggender,j}$ ) information by using smart device (Table 2). Each weight parameter has predefined parameters for robot personality. These parameters are combined as follows in Eq. (16).

$$w_{g,j}^E = \frac{w_{g_{feeling,j}}^E + w_{g_{battery,j}}^E + w_{g_{distance,j}}^E + w_{g_{gender,j}}^E}{4}$$
(16)

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# 4.5.2 Learning Weight: W<sup>FM</sup>

 $W^{FM}$  is the learning weight for connections between feelings and moods as shown in Fig.10. The basic learning equation is as follows:

$$W_{j,k}^{FM}(t) = W_{j,k}^{FM}(t-1) + Z_k \circ X_{input}^{FM}(t-1)$$
(17)

The extended version of this equation is shown in Eq. (A-1) in Appendix, and  $Z_k$  is shown in Eq. (18). The initial weight parameter ( $W^{FM}$ ) for robot personality is predefined by using Table 5.

$$Z_{k} = \xi^{wgt} \cdot tanh\left(\left[w_{1,k}^{FM}(t-1), \cdots, w_{9,k}^{FM}(t-1), \gamma^{M-syn}(t-1)\right] \\ \cdot \left[h_{1,k}^{F-PSP}(t-1), \cdots, h_{9,k}^{F-PSP}(t-1), h_{k}^{M-syn}(t-1)\right]^{T}\right) \\ - \xi^{wgt} \cdot \gamma^{wgt} \cdot \left[w_{1,k}^{FM}(t-1), w_{2,k}^{FM}(t-1), \cdots, w_{9,k}^{FM}(t-1), \gamma^{M-syn}(t-1)\right] \\ \cdot \left[h_{1,k}^{F-PSP}(t-1), h_{2,k}^{F-PSP}(t-1), \cdots, h_{k}^{M-syn}(t-1)\right]^{T}$$
(18)

# 4.5.3 Learning Weight: W<sup>MF</sup>

 $W^{MF}$  is the learning weight for connections between moods and feelings as illustrated in Fig. 11. The basic learning equation is as follows:

$$W_{k,j}^{MF}(t) = W_{k,j}^{MF}(t-1) + Z_j \circ X_{input}^{MF}(t-1)$$
(19)

The extended version of this equation is shown in Eq. (A-2) in Appendix and  $Z_j$  is shown in Eq. (20). The initial weight parameter ( $W^{MF}$ ) is initialized by "0".

$$Z_{j} = \xi^{wgt} \cdot tanh\left(\left[w_{1,j}^{MF}(t-1), w_{2,j}^{MF}(t-1), \gamma^{F-syn}(t-1)\right] \right)$$

$$\cdot \left[h_{1}^{M-PSP}(t-1), h_{2}^{M-PSP}(t-1), h_{j}^{F-syn}(t-1)\right]^{T}\right)$$

$$- \xi^{wgt} \cdot \gamma^{wgt} \cdot \left[w_{1,j}^{MF}(t-1), w_{2,j}^{MF}(t-1), \gamma^{F-syn}(t-1)\right]$$

$$\cdot \left[h_{1}^{M-PSP}(t-1), h_{2}^{M-PSP}(t-1), h_{j}^{F-syn}(t-1)\right]^{T}$$
(20)

Table 5: The initial weight parameter: $W^{FM}$	Table 5: The initial weight	ht parameter: W <sup>FM</sup>	
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$W^{FM}$	Positive	Negative
Neutral	0.2	0.2
Нарру	0.7	0.0
Surprise	0.7	0.0
Angry	0.0	0.7
Disgust	0.0	0.7
Sad	0.0	0.7
Frightened	0.0	0.7
Fearful	0.0	0.7
Thrilling	0.7	0.0

# 4.5.4 Learning Weight: W<sup>F</sup>

 $W^F$  is the learning weight for connections between feelings including previous feelings as well, as shown in Fig. 12. The basic learning equation is as follows:

$$W_{i,j}^F(t) = \sum_{a=1}^{A} \left( W_{i,j}^F(t-a) + Z_j(t-a) \circ X_{input}^F(t-a) \right)$$
(21)

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where A is the recurrent steps. The extended version of this equation is shown in Eq. (A-3) in Appendix and  $Z_{i,j}$ (t - a) is shown in Eq. (22). The weight parameters ( $W^F$ ) are initialized by "0". In Eq. (A-3),  $h^{\varphi}_{i,j}$  (t - a) is defined by Eq. (23),  $w^{\varphi}$  (t-a) is defined by Eq. (24), and  $z_{i,j}(t-a)$  is defined by Eq.(25).

$$Z_{i,j}(t-a) = \xi^{wgt} \cdot tanh\left(\left[w_{1,j}^{F}(t-a), \cdots, w_{8,j}^{F}(t-a), w_{9,j}^{F}(t-a)\right] \\ \cdot \left[h_{1,j}^{F}(t-a), \cdots, h_{8,j}^{F}(t-a), h_{9,j}^{F}(t-a)\right]^{T}\right) \\ - \xi^{wgt} \cdot \gamma^{wgt} \cdot \left[w_{1,j}^{F}(t-a), \cdots, w_{8,j}^{F}(t-a), w_{9,j}^{F}(t-a)\right] \\ \left[h_{1,j}^{F}(t-a), \cdots, h_{1,j}^{F}(t-a), w_{9,j}^{F}(t-a)\right]^{T}$$
(22)

$$h_{i,j}^{\phi}(t-a) = \begin{cases} h_{i,j}^{F-syn}(t-a) & \text{if } i=j \\ h_{i,j}^{F-PSP}(t-a) & \text{otherwise} \end{cases}$$
(23)

$$w_{i,j}^{\phi}(t-a) = \begin{cases} \gamma^{F-syn}(t-a) & \text{if } i=j\\ \gamma^{F-syn}(t-a) \cdot w_{i,j}^{F}(t-a) & \text{otherwise} \end{cases}$$
(24)

$$z_{i,j}(t-a) = \begin{cases} 0 & \text{if } i=j\\ z_{i,j}(t-a) & \text{otherwise} \end{cases}$$
(25)



Fig. 12: The structure of spiking neural network: Other layers (*i*) to Feelings (*j*)

# 5.0 EXPERIMENTAL RESULTS

The experimental results consist of three parts. First, we show the estimation of human emotional state based on our proposed human empathy model. Then, we apply a previously proposed emotional model for our robot partner. Finally, we apply our proposed recurrent spiking neural network based emotional model for our robot partner and compare it with the previous model. In order to indicate difference of emotional expression according to gender, we used two different experiment conditions based on gender difference.

#### 5.1 Estimation of Human Emotional State

In the experiment, we use "iPhonoid-C" as the robot partner. We also applied this definition of robot character in our previous paper [6]. This experiment is performed in a one-to-one conversation environment between the human and the robot partner. Human interaction condition has eleven steps as shown in Table 6, where (t) is the time step from one to eleven depending on the condition of human. In each step (t) there are two calculation parts. The first part is human emotion estimation, which takes 100 iterations for learning. The second part is the robot's emotion calculation, which takes 200 iterations for learning.

The robot used perception based emotional model for the estimation of human empathy as discussed in Section 4.2 The estimation of emotional state is changed based on each step (t). For example, the robot could estimate angry situation based on the utterance information in step 7. In step 10, the feeling state is sad because the human leaves the room without the robot. Fig. 13 shows the estimation of feeling results and Fig. 14 shows the estimation of mood results. Fig. 15 depicts the winner information of emotional state information.

(t)	Hum	an emotional f	actor	<b>Robot emotional factor</b>				
	Gesture	Smiling	Adjective	Battery	Distance	Gender		
1	None	None	None	Low	Far away	Female · Male		
2	None	None	None	Full	Close	Female · Male		
3	None	Smiling	None	Full	Close	Female · Male		
4	Up & Down	None	None	Full	Close	Female · Male		
5	None	Smiling	Нарру	Full	Close	Female · Male		
6	None	None	Surprise	Full	Close	Female · Male		
7	None	None	Angry	Full	Close	Female · Male		
8	None	None	Surprise	Full	Close	Female · Male		
9	None	Smiling	Нарру	Full	Close	Female · Male		
10	Left & Right	Smiling	None	Full	Close	Female · Male		
11	None	None	None	Full	Far away	Female · Male		

Table 6: Summary of Human State



Fig. 13: The results of feeling to estimate human state

Fig. 14: The results of mood to estimate human state

## 5.2 The Perception-based Emotional Model for the Robot

In this part, we apply the perception-based emotional model for the robot partner [46]. The experimental conditions are the same as in Table 6. The calculation is repeated 200 times for each step information from human robot interaction in order to illustrate the calculation for changing in the emotional state. To compare the difference of emotional results, we performed two experiments with different genders to interact with the robot.

Our previous paper [46] used predefined emotional parameters for  $W^F$  and  $W^{FM}$  as presented in Tables 7 and 8. The equations in Section 4.2 are used in this emotional model. The same input parameters are used as in Section 4.5.1 The robot has a good impression to female based on the predefined gender parameters. Therefore, the robot has a sad feeling before the female is leaving because it is lonely when it is left alone in the room (Figs.

16, 18, 20). The robot's emotional state is similar to the human's emotional state. However, the robot is a little angry to male because the male left without carrying away the robot (Figs. 17, 19, 21).

This emotional model uses predefined parameters in advance. Thus, in this case, the robot's emotional expression is far from an autonomous emotional system. Consequently, we proposed a spiking neural network based emotional model [5].



Fig. 15: The results of human emotional state: feeling and mood

WF	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Neutral	0.0	0.3	0.1	0.1	0.1	0.1	0.1	0.1	0.3
Нарру	0.1	0.0	0.3	0.1	0.1	0.1	0.1	0.1	0.3
Surprise	0.1	0.3	0.0	0.3	0.1	0.1	0.1	0.1	0.1
Angry	0.1	0.1	0.3	0.0	0.3	0.1	0.1	0.1	0.1
Disgust	0.1	0.1	0.1	0.3	0.0	0.3	0.1	0.1	0.1
Sad	0.1	0.1	0.1	0.1	0.3	0.0	0.3	0.1	0.1
Frightened	0.1	0.1	0.1	0.1	0.1	0.3	0.0	0.3	0.1
Fearful	0.1	0.1	0.1	0.1	0.1	0.1	0.3	0.0	0.3
Thrilling	0.1	0.3	0.1	0.1	0.1	0.1	0.1	0.3	0.0

Table 7: Coefficients between feelings (WF)

Table 8: Coefficients between feelings and moods (WFM)

	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Positive	0.2	0.7	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Negative	0.1	0.1	0.2	0.7	0.2	0.1	0.1	0.1	0.1



Fig. 16: The results of feeling: Female





#### 5.3 The Proposed Emotional Model for Robot

In our proposed emotional model, the robot uses four emotion factors as shown in Section 4.5.1 The parameters of the SNN are presented in Table 9. These parameters seemed optimal after a trial and error process. The calculation is repeated 200 times per each input information from human robot interaction in order to illustrate the calculation for changing in the emotional state.

The human feeling information results used in the robot's emotional model to define the robot's emotional state are based on Section 4.2 To compare the difference of emotional results, we performed two experiments with different genders to interact with the robot. The estimation of human emotional condition is changed based on the eleven steps, as shown in Table 6.

Human emotional state has the same results as depicted in Figs. 13, 14, and 15. The robot's emotional inputs are illustrated in Fig. 22 based on the human empathy emotional state. This is a projection from the human emotional condition from Fig. 15. Accordingly, the robot's feelings are changing as shown in Figs. 23 and 24. The robot's mood are also changing as shown in Figs. 25 and 26. The results of feeling and mood are changing automatically by unsupervised learning. The results of the robot partner's emotional state are shown in Figs. 27 and 28. The result shows the difference between female and male, because the robot has different parameters which is due to its preference of female over male (Table A-6). Thus, the robot has happy feeling and positive mood from step (t) = 9 as presented in Fig. 27. So, the robot's emotional state is automatically changing by using human emotional condition and automating the fine tuning of the parameters.

The final learning values of  $W^{FM}$ ,  $W^{MF}$  and  $W^{F}$  are shown in Tables 10, 11, and 12. The changing of the weight values during 200 steps is illustrated in Figs. 29, 30, and 31. Fig. 29 presents the changing of the weights  $W^{FM}$  between all feelings and positive mood. Fig. 30 shows the changing of weights  $W^{MF}$  from positive mood to all feelings. The learning time of  $W^{MF}$  takes longer, because the mood is placed in the last layer. Thus, the  $W^{MF}$  values are changed slower. The  $W^{F}$  values are changing dynamically based on the relation of emotions, moods, and previous feelings (Fig. 31). As a result, all weights could increase and decrease during the learning process, showing an advantage of the Hebbian-LMS learning.



Fig. 22: The results of robot's emotional estimation: Output of Emotion Layer



Fig. 27: The results of emotional states: Female

NE	$\gamma^{E-syn}(t-a)$	$\gamma^{E-PSP}$	$\gamma^{E-ref}$	$R_E$	$\theta_E$
9	0.55	0.9	0.7	1	0.2
$N_F$	$\gamma^{F-syn}(t-a)$	$\gamma^{F-PSP}(t-a)$	$\gamma^{F-ref}$	$R_F$	$\theta_F$
9	0.35 ( <i>a</i> =1),	0.8 ( <i>a</i> =1),	0.7	1	0.2
	0.14 ( <i>a</i> =2)	0.6 ( <i>a</i> =2),			
		0.4 ( <i>a</i> =3)			
$N_M$	$\gamma^{M-syn}(t-a)$	$\gamma^{M-PSP}$	$\gamma^{M-ref}$	$R_M$	$\theta_M$
2	0.8	0.85	0.55	1	0.2
	A	γ <sup>ν</sup>	gt	ζ <sup>wgt</sup>	
	2	0.	7	0.3	

Table 9: Parameter setting for emotional model by Spiking neural network

Table 10: Final values of weights after learning (Female): WFM

$W^{FM}$	Positive	Negative
Neutral	0.2	0.2
Нарру	0.7	0.0
Surprise	0.7	0.0
Angry	0.0	0.7
Disgust	0.0	0.7
Sad	0.0	0.7
Frightened	0.0	0.7
Fearful	0.0	0.7
Thrilling	0.7	0.0

Table 11: Final values of weights after learning (Female):  $W^{MF}$ 

W <sup>MF</sup>	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Positive	0.2	0.7	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Negative	0.1	0.1	0.2	0.7	0.2	0.1	0.1	0.1	0.1

Table 12: Final values of weights after learning (Female):  $W^F$ 

W <sup>F</sup>	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Neutral	0.00	0.40	0.42	0.41	0.41	0.41	0.41	0.41	0.39
Нарру	0.30	0.00	0.31	0.31	0.33	0.32	0.32	0.32	0.47
Surprise	0.33	0.34	0.00	0.33	0.35	0.34	0.34	0.34	0.42
Angry	0.33	0.34	0.33	0.00	0.35	0.35	0.35	0.35	0.43
Disgust	0.38	0.41	0.38	0.38	0.00	0.37	0.37	0.37	0.38
Sad	0.39	0.41	0.38	0.38	0.37	0.00	0.37	0.37	0.38
Frightened	0.39	0.41	0.38	0.38	0.37	0.37	0.00	0.37	0.38
Fearful	0.39	0.41	0.38	0.38	0.37	0.37	0.37	0.00	0.38
Thrilling	0.32	0.35	0.32	0.33	0.34	0.34	0.34	0.34	0.00



Fig. 29: The changing of weight values (Female):  $W^{FM} W^{MF}$ 



Fig. 30: The changing of weight values (Female):  $W^F$ 

## 6.0 CONCLUSION

In this paper, we proposed an emotional empathy structure based on human empathy and robot emotion (Fig. 5). First, we used emotional estimation for human empathy. This result is used as an emotional input for the estimation of the robot's emotional state. Thus, the robot's emotional state has empathy structure with human emotional state.

The previous robot emotional model was not automatic, it has predefined parameter settings [46]. To improve our emotional model, we proposed a recurrent simple spike response model based on the "Hebbian-LMS" learning for the robot's emotional model. The advantage of the learning method is that it does not require parameter tuning by human, so it automatizes the fine tuning of parameters.

We used the original Hebbian learning rule in our previous approach. Sometimes, the weights reached their maximum values after some learning cycles. The weight could not decrease in that case. However, in this paper, we could avoid this problem by the "Hebbian-LMS" learning. The weight values can increase and decrease as well. Here, emotional model has complex dynamics. Therefore, it is difficult to advance the design manually. Thus, the robot partner should generate enriched emotional expression by its own through the introduction of unsupervised learning.

For future work, we will consider using the recurrent spiking neural network model with Hebbian-LMS learning for Natural Language Processing (NLP) to realize more natural conversation. Finally, we intend to try the emotional empathy model for multi-robot environment.

# APPENDIX

# A.1 Acronyms

Acronyms	Full name
LMS	Least Mean Square
CFUS	Conversation Flow Utterance System
SBUS	Sentence Building Utterance System
TDUS	Time Dependent Utterance System
LMA	Laban Movement Analysis
PSP	Post Synaptic Potential
syn	synaptic
ext	external
ref	refractoriness

# Table A-1: Acronyms

# A.2 Notations

# Table A-2: Notations

Notations	Meanings
E	emotion
F	feeling
М	mood
$S_b$	<i>b</i> -th adjective word
$G_c$	<i>c</i> -th gesture information from human
$D_d$	<i>d</i> -th smiling information from human
$u^{E}$	emotion input
$u^F$	feeling input
$u^M$	mood input
$w^E$	the learning weight parameter of emotion
$w^F$	the learning weight parameter of feeling
$w^M$	the learning weight parameter of mood
$w^{FM}$	the learning weight parameter between feeling to mood
	in spiking neural network
$w^{MF}$	the learning weight parameter between mood to feeling
	in spiking neural network
$N^E$	the number of emotions
$N^F$	the number of feelings
$N^M$	the number of moods
$\gamma^F$	the discount rate of feelings
$\gamma^M$	the discount rate of moods
$h_i$	<i>i</i> -th membrane potential
$h_i^{syn}$	<i>i</i> -th synaptic pulse output
$h_i^{ext}$	<i>i</i> -th external information from environent
$h_i^{ref}$	<i>i</i> -th refractoriness of the neuron
$h_i^{PSP}$	<i>i</i> -th presynaptic spike output
$p_i$	pulse output
$\gamma^{syn}$	the discount rate of h
$\gamma^{ref}$	the discount rate of $h^{ref}$

$\gamma^{PSP}$	the discount rate of $h^{PSP}$
θ	Threshold for firing
ζ <sup>wgt</sup>	the coefficient to control stability and convergence speed
$\gamma^{wgt}$	parameter to control the stable equilibrium point
Xinput	the input pattern
$(SUM)_k$	sum of inputs

### A.3 Equations

Eqs. (A-1), (A-2), and (A-3) are extended version of Eqs. (17), (19), and (21).

$ \begin{bmatrix} w_{1}^{FM}(t) & w_{1}^{FM}(t) \\ w_{2}^{FM}(t) & w_{2}^{FM}(t) \\ w_{3}^{FM}(t) & w_{3}^{FM}(t) \\ w_{4}^{FM}(t) & w_{5}^{FM}(t) \\ w_{4}^{FM}(t) & w_{5}^{FM}(t) \\ w_{5}^{FM}(t) & w_{6}^{FM}(t) \\ w_{6}^{FM}(t) & w_{6}^{FM$	(A-1)
$ \begin{bmatrix} w_{1,1}^{MF}(t) & \cdots & w_{1,8}^{MF}(t) & w_{1,9}^{MF}(t) \\ w_{2,1}^{MF}(t) & \cdots & w_{2,8}^{MF}(t) & w_{2,9}^{MF}(t) \\ \gamma^{F-syn}(t) & \cdots & \gamma^{F-syn}(t) & \gamma^{F-syn}(t) \end{bmatrix} = \begin{bmatrix} w_{1,1}^{MF}(t-1) & w_{1,2}^{MF}(t-1) & \cdots & w_{1,8}^{MF}(t-1) & w_{1,9}^{MF}(t-1) \\ w_{2,1}^{MF}(t-1) & w_{2,2}^{MF}(t-1) & \cdots & w_{2,8}^{MF}(t-1) & w_{2,9}^{MF}(t-1) \\ \gamma^{F-syn}(t-1) & \gamma^{F-syn}(t-1) & \cdots & \gamma^{F-syn}(t-1) & \gamma^{F-syn}(t-1) \end{bmatrix} \\ + \left( \begin{bmatrix} z_1 & \cdots & z_9 \\ z_1 & \cdots & z_9 \\ 0 & \cdots & 0 \end{bmatrix} \circ \begin{bmatrix} h_{1,1}^{M-PSP}(t-1) & h_{1,2}^{M-PSP}(t-1) & \cdots & h_{1,8}^{M-PSP}(t-1) & h_{1,9}^{M-PSP}(t-1) \\ h_{2,1}^{H-syn}(t-1) & h_{2,2}^{H-syn}(t-1) & \cdots & h_{2,8}^{M-PSP}(t-1) & h_{2,9}^{M-PSP}(t-1) \\ h_{1}^{F-syn}(t-1) & h_{2}^{F-syn}(t-1) & \cdots & h_{8}^{F-syn}(t-1) & h_{9}^{F-syn}(t-1) \end{bmatrix} \right) $	(A-2)
$ \left\{ \begin{array}{l} w_{2,1}^{\phi}(t) \ \cdots \ w_{2,9}^{\phi}(t) \\ w_{2,1}^{\phi}(t) \ \cdots \ w_{2,9}^{\phi}(t) \\ w_{3,1}^{\phi}(t) \ \cdots \ w_{3,9}^{\phi}(t) \\ w_{3,1}^{\phi}(t) \ \cdots \ w_{5,9}^{\phi}(t) \\ w_{5,1}^{\phi}(t) \ \cdots \ w_{5,9}^{\phi}(t) \\ w_{5,1}^{\phi}(t-2) \ \cdots \ w_{5,9}^{\phi}(t-2) \\ w_{5,1}^{\phi}(t-2) \ \cdots \ w_{5,9}^{\phi$	(A-3)

### A.4 Parameter Tables

Tables A-3, A-4, A-5 and A-6 are coefficients in order to calculate the emotions based on the input information. Humans are learning about the emotional expression according to their experiences. However, robots need emotional parameter for each situation that is a reference of emotion (e.g., What is the robot's emotional state when robot has greeting situation.). Thus, we predefined robot partner's emotional parameter to calculate emotions for robot personality.

Feelings	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Neutral	1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Нарру	0.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Surprise	0.0	0.0	1.0	0.0	0.0	0.0	0.0	0.0	0.0

Table A-3: Coefficients of emotions ( $w^{E}_{gfeeling,j}$ ): Human emotional state

Angry	0.0	0.0	0.0	1.0	0.0	0.0	0.0	0.0	0.0
Disgust	0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0
Sad	0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0	0.0
Frightened	0.0	0.0	0.0	0.0	0.0	0.0	1.0	0.0	0.0
Fearful	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.0	0.0
Thrilling	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1.0

Table A-4: Coefficients of emotions ( $w^{E}_{gbattery,j}$ ): Battery state

Battery	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Low	0.2	0.4	0.6	0.9	0.8	0.8	0.7	0.8	0.4
Full	0.2	0.8	0.4	0.4	0.4	0.4	0.5	0.5	0.7

Table A-5: Coefficients of emotions ( $w^{E}_{gbattery,j}$ ): Distance information

Distance	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Long	0.2	0.4	0.6	0.9	0.8	0.8	0.7	0.8	0.4
Short	0.2	0.8	0.4	0.4	0.4	0.4	0.5	0.5	0.7

Table A-6: Coefficients of emotions (w<sup>E</sup><sub>gbattery,j</sub>): Gender information

Distance	Neutral	Нарру	Surprise	Angry	Disgust	Sad	Frightened	Fearful	Thrilling
Female	0.2	0.9	0.5	0.3	0.3	0.2	0.2	0.2	0.6
Male	0.2	0.4	0.8	0.8	0.7	0.5	0.6	0.7	0.4

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